

# Implementing a Bayesian Response Adaptive Randomisation design in a rare disease setting

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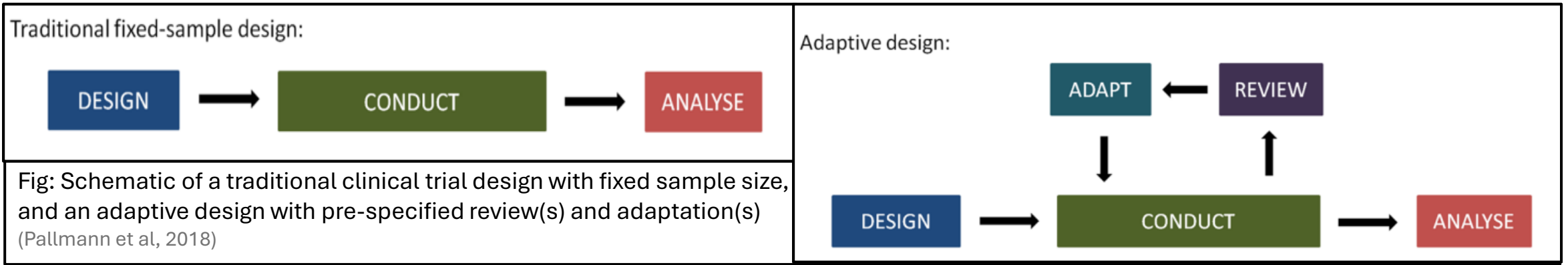
UNIVERSITY OF  
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Randomization WG Meeting

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# Bayesian Response Adaptive Randomisation (BRAR)

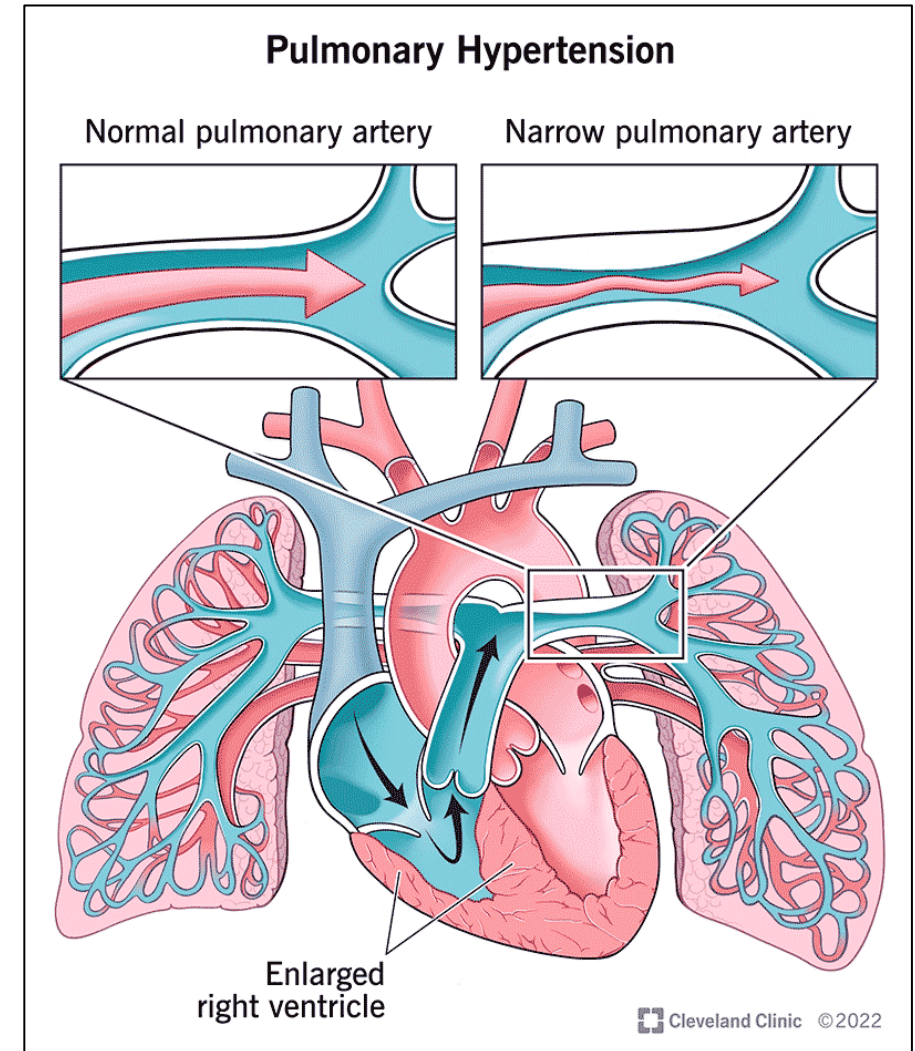
- Adaptive designs:



- BRAR: recursively update the allocation probability for participants of a study to treatment groups based on previous outcomes using Bayesian theorem (Atkinson and Biswas, 2014 and Robertson et al, 2023)

# Pulmonary Arterial Hypertension

- Pulmonary Arterial Hypertension (PAH) is a life-threatening progressive disorder.
- Rare disease, characterised by high blood pressure in the arteries of lungs.
- Treatable, but no known cure yet, and the exact cause is still unknown.
- Mutations in the bone morphogenetic protein receptor type-2 (BMPR2) are the most common genetic cause of familial PAH.

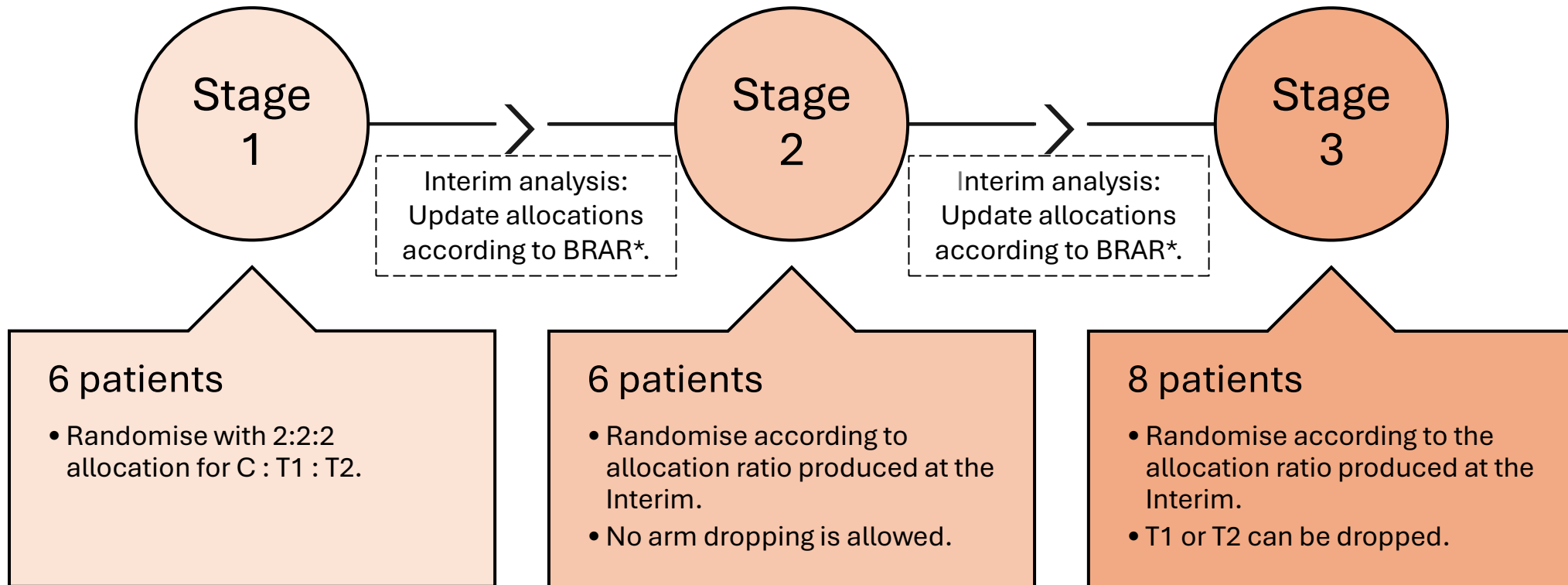


# StratosPHere 2 Trial

- Three-armed, placebo controlled, phase II trial.
- The participants of this trial are stratified according to their mutation group- *Haploinsufficiency* or *Missense*.
- Tests efficiency of two repurposed drugs hydroxychloroquine (T1) and phenylbutyrate (T2) for the treatment of PAH by targeting the genetic BMPR2 pathway of the disease.
- **Primary objective:** To test the hypothesis that two treatments can rescue the BMPR2 pathway.
- **Primary endpoint:**  $I(\Delta\text{BMPR2}) > 0.3$ .

Details on Deliu et al, 2024.

# Trial design of one mutation group



\*The algorithm is based on the Bayesian design proposed in Trippa et al, 2012, and Wason and Trippa, 2014 — protects control arm.

# A challenge

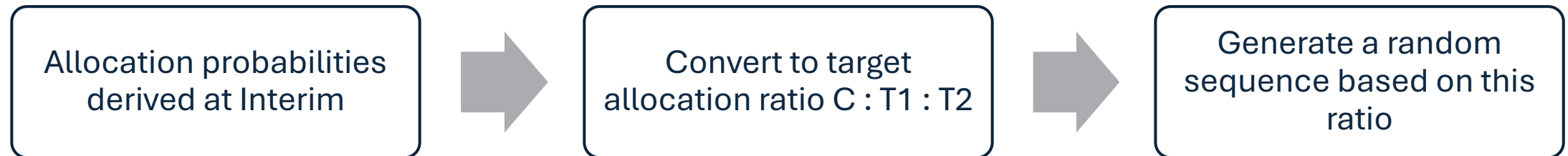
- **Challenge:** avoid generating undesirable allocation sequences through the randomisation in this small sized trial.

Example description:

- Take randomisation probabilities  $\pi = (\pi_0, \pi_1, \dots, \pi_K)$  where  $\pi_k$  is the randomisation probability of arm  $k$  and,
- allocation proportions  $\rho = (\rho_0, \rho_1, \dots, \rho_K)$  where  $\rho_k$  is the proportion of participants assigned to arm  $k$  i.e.,  $\rho_k = \frac{n_k}{n}$  where  $n_k$  is the number of participants in arm  $k$  and  $n$  is the trial sample size.
- We want  $n\pi_k \approx n\rho_k$  for all  $k$ 's. A concern in rare disease/ small sized trials.

# Proposed solution

- **Mapping:** Intermediate step that involves a decision rule- to map the continuous randomisation probabilities at the interim analyses to a target vector of discrete allocation ratios.



- Propose two types of mapping: *Mapped-LowerGranularity* and *Mapped-HigherGranularity*.

More details on Das et al, 2024.

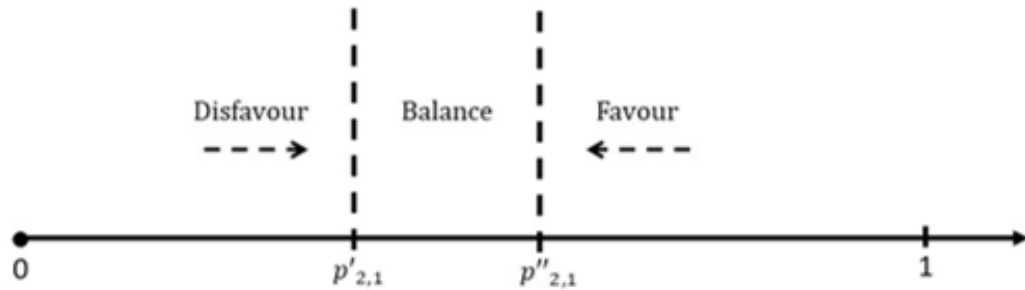
# Adaptation list

<b>Adaptation category</b>	<b>Description</b>	<b>Stage 1</b>	<b>Stage 2</b>	<b>Stage3</b>
Drop	T1 can be dropped	Never	Never	2:0:6
Disfavour	T1 can be disfavoured, but not dropped	Never	2:1:3	2:1:5
				2:2:4
Balance	The active arms can be allocated equally	2:2:2	2:2:2	2:3:3
Favour	T1 can be favoured, without dropping the other	Never	2:3:1	2:4:2
				2:5:1
Keep	T1 can be kept, while dropping the other	Never	Never	2:6:0

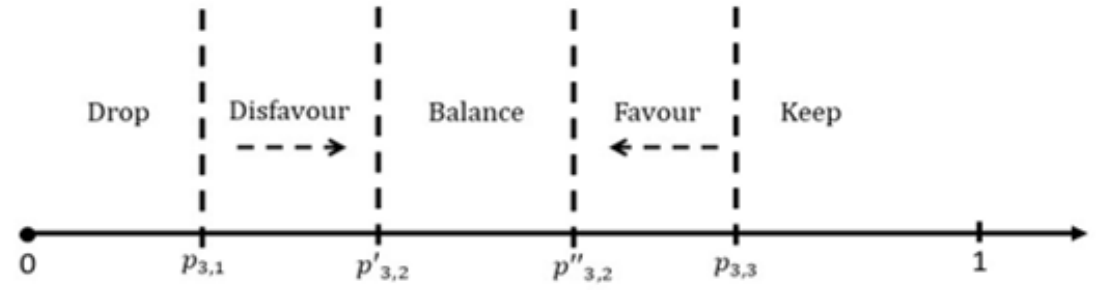
Possible allocations for C : T1 : T2 at each stage of the trial based on the categories of the active arms.



# Mapping decision line



STAGE 2



STAGE 3

Schematic of the proposed *Mapped-HigherGranularity* design with Stage 2 (left) and Stage 3 (right).

Closing the Balance region converts the design into the proposed *Mapped-LowerGranularity*.

# BRAR designs

Design type		Restrictions and constraints in design				
Response Adaptive Design	Design Name	Stage 1 (6 patients)	Stage 2 (6 patients)	Stage 3 (8 patients)	Allocation rule	Number of control arms
Unmapped	<i>Fully Unrestricted</i>	-	-	-	Thompson Sampling	-
	<i>Control Protected</i>	-	-	-	Trippa	-
	<i>StratosPHere2</i>	2 : 2 : 2	No active arm can be dropped	Active arm can be dropped	Trippa	-
Mapped	<i>Mapped-LowerGranularity</i>	2 : 2 : 2	No active arm can be dropped	Active arm can be dropped	Trippa	2
	<i>Mapped-HigherGranularity</i>	2 : 2 : 2	No active arm can be dropped	Active arm can be dropped	Trippa	2

Summary of the Unmapped and Mapped Bayesian RAR designs for StratosPHere 2.

The allocation ratios are for C : T1 : T2. Allocation rule generates the allocation probabilities for the next stage.

# Operating characteristics

Design	Frequentist properties		Empirical Allocation		
	Power	Type-I error	Arm C	Arm $T_1$	Arm $T_2$ (Sup. arm)
<i>Fully Unrestricted</i>	0.751	0.09	0.24 (0.12)	0.23 (0.12)	0.53 (0.17)
<i>Control Protected</i>	0.785	0.09	0.34 (0.07)	0.20 (0.12)	0.46 (0.13)
<i>StratosPHere 2</i>	0.788	0.10	0.32 (0.07)	0.21 (0.10)	0.47 (0.12)
<i>Mapped-LowerGranularity</i>	0.795	0.11	0.30 (0)	0.20 (0.11)	0.50 (0.11)
<i>Mapped-HigherGranularity</i>	0.789	0.11	0.30 (0)	0.20 (0.11)	0.50 (0.11)

The significance level is set to  $\alpha = 12.8\%$  to meet a 10% error control under the adaptive design. Values are averaged across 10,000 independent replicas; allocations are reported in terms of mean (standard deviation). Here, a value 0 for the standard deviation reflects the imposed restrictions on number of control arms.

# Example of first interim report:

*primary endpoint*

*BRAR*

*formula*

*mapping*

*target ratio*

	Control	Hydroxychloroquine	Phenylbutyrate
<b>No. of successes</b>	0	1	2
<b>Alloc. Probs. (Design)</b>	0.25	0.27	0.48
<b>Is it &gt; p* (Mapping)</b>	NA	0	1
<b>Is it &lt; p*</b>	NA	1	0
<b>Adaptation</b>	NA	Disfavour	Favour
<b>Alloc. ratio</b>	2	1	3

Do we adapt the randomisation ratio for stage 2?

**YES:**

**From 2:2:2 to 2:1:3**

# Conclusion

The proposed general Mapping criterion:

- ensures good performance of the randomisation procedure.
- provides a viable strategy to empower small-sample trials while preserving the essence of RAR.
- simplifies the implementation of RAR through external randomisation providers (e.g., Sealed Envelope) in Clinical Trial Units.

Possible extensions include:

- incorporating more trial designs (larger sample sizes, bigger or more blocks/stages etc.)

# References

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Feedback/questions? Thank you! 😊

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